# Professional Excavator's Manual



# Dig With...











Prepared by
Virginia State Corporation Commission

Division of Utility and Railroad Safety

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#### Foreword

Damage to underground utility lines can cause far-reaching consequences, from serious injury and environmental damage to the loss of vital services we depend on every day. Preventing damage to these facilities is a responsibility shared by all stakeholders and is accomplished through various damage prevention measures.

In 1979, the Virginia General Assembly passed the Underground Utility Damage Prevention Act ("Act"), defining the stakeholders' responsibilities so as to prevent excavation/demolition damage to underground utility lines. Since then, the Act has been amended several times to further clarify these responsibilities. In 1994, the Act authorized the State Corporation Commission ("Commission") to enforce the provisions of the law and assist with programs such as public awareness and training to further damage prevention in Virginia.

The preparation and dissemination of this manual is one of several ways the Commission is providing to professional excavators information regarding safe excavation and demolition practices in the Commonwealth.

In addition, this manual provides reproductions of the Act, and the Commission's Rules for enforcement of the Act solely as a convenience for the persons reading this manual, and not as a legal reference. Persons seeking a legal reference should utilize the Code of Virginia and the Virginia Administrative Code.

Some of the information in this manual is subject to change without notice.

Nothing contained in this manual is intended to supersede the existing state law or Commission's regulations. The Commission does not assume any responsibility for the acts or conduct of any reader of this document.

## The Goals of the Virginia Underground Utility Damage Prevention Act

- To prevent loss of life, injuries, damage to property and the environment and economic loss caused by damage to underground utility lines.
- To protect from damage thousands of miles of underground utility lines that provide essential services to Virginia citizens and businesses.

## **Background**

- The General Assembly passed the Underground Utility Damage Prevention Act ("Act") in 1979.
   This law may be referred to as the Miss Utility Law or the Damage Prevention Act.
- The Act was amended in 1989, 1995, 1996, 2002, 2005, and 2010.
- The Act addresses stakeholders' responsibilities to protect and prevent damage to underground utility lines.
- The State Corporation Commission ("Commission) promulgated rules in 1994, in accordance with the Act. The rules were amended in 2001 and 2004 to further detail certain standards and requirements for the protection of underground utility lines and conform the rules to the Act as amended.

# Dig with CARE. Keep Virginia Safe!



Before you begin your excavation or demolition, call Miss Utility and provide the required information regarding your excavation or demolition.

- For Non-Emergency Excavations: Notify Miss Utility when you are certain that your project will begin within thirty "working days" from the date of the original call to Miss Utility. Working days exclude Saturdays, Sundays, legal state and national holidays.
- The extent of your proposed work must be a work area that can be excavated within fifteen working days from 7:00 a.m. on the next working day following notice to Miss Utility. The area covered under each notice (commonly referred to as a "ticket") must not exceed one mile.
- Your notification is valid for fifteen working days from 7:00 a.m. on the next working day after you notify Miss Utility. (See Figure 1 on page 3.)



1 Request a re-marking of the underground utility lines if the line-location markings on the the calculation. ground become illegible, for any reason.

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is a legal state or national

In this example, the  $22^{\rm n}$ 

<u>June 2</u> 3 4, 5 6, 7, 8, 9, 10 11, 12 13, 14, 15, 16, 17 18, 19 20, 21 22 23 June 24

In this example, the 4, 5, 11, 12, 18 and 19 are Saturdays or Sundays, and not included in the

- 2 "Working day" means every day, except Saturdays, Sundays, and legal state and national holidays. Do not include these days in the calculation.
- 3 Time periods are calculated consecutively from 7:00 a.m. to 7:00 a.m. each working day throughout the period the ticket is valid. Each 24 hour period equals one working day.

Be prepared when contacting Miss Utility. The law requires you to provide Miss Utility with the following information when giving notice of your proposed excavation or demolition:

- The name of the individual serving the notice.
- The specific location of the proposed work.
- The name, address, telephone number, and telefacsimile number, if available, of the excavator or demolisher, to whom notification can be given.
- The excavator's or demolisher's field telephone number, if one is available.
- The type and extent of the proposed work.
- The name of the person for whom the proposed work is being performed.

In addition, providing the following information will assist Miss Utility in the efficient and accurate processing of your request.

Note: If you have called Miss Utility before, they can retrieve some of your information using your company telephone number. The information is verified on each call.

- Your email address, if you have one.
- If you are a utility operator, contractor, owner, or engineer.
- If you will be using trenchless technology equipment, or blasting with explosives.

- Specifics (including a description) about the work location such as,
  - an ADC map book page number, 911 map grid information or other map information;
  - the name of a specific place (county, city, town, community or district);
  - and/or GPS coordinates.
- The nearest cross streets to your proposed work location.
- If the work will begin or take place in an intersection.
- The side (facing the house, left, right, front, back, etc.) of the property where the work will be performed.
- The route of the excavation (for linear work) or the boundary of the excavation (for work that covers a larger area).
- If you have marked the proposed excavation area with white paint or flags. (See inside back cover.)

During your call, Miss Utility will provide you with:

- The names of the operators that will be notified of your proposed excavation or demolition.
- A reference number, commonly called a "ticket number." You will need this number to make inquiries concerning your notification. Additionally, the law requires that you provide this number to the operators owning underground utility lines in the area of your excavation or to the appropriate regulatory authorities having jurisdiction, within one hour of a request.



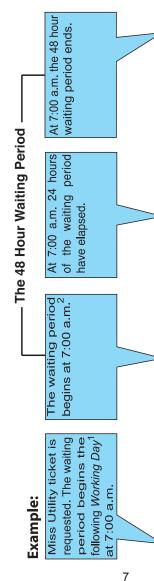
# Allow required time for marking.

- You must wait the time required by law if Miss Utility informs you that member operators will be notified of your proposed excavation or demolition
- The waiting period is 48 hours and begins at 7:00 a.m. the next working day after you contact Miss Utility. (See Figure 2 on page 7.)
- · Do not include Saturdays, Sundays, legal state and national holidays in the waiting period calculation.
- If you confirm that all applicable operators have either marked their underground utility lines or reported that no lines are present in the vicinity of your excavation or demolition, you may begin your excavation or demolition anytime during the waiting period. The confirmation must be obtained by contacting or receiving information from Miss Utility.

If you cannot provide Miss Utility with a facsimile number or other mutually acceptable means of automatically receiving this information, it is your responsibility to contact Miss Utility's Positive Response System prior to commencing excavation/demolition in order to determine if any responses to your notice have been recorded. (See page 40 for a list of locator response codes.)

 You may also begin your project if Miss Utility informs you that no member operators need to be informed of your proposed excavation.

Figure 2.



1 "Working day" means every day, except Saturdays, Sundays, and legal state and national holidays. Do not include these days in the calculation.

June 9

June 8

June 7

June 6

2 The waiting period is calculated consecutively from 7:00 a.m. to 7:00 a.m. each working day throughout the waiting period. Each 24 hour period equals one working day.



- Marking underground utility lines is the way operators show the approximate horizontal location of their facilities in advance of excavation. (See page 36.)
- Protect and preserve the markings from the time the excavation begins until markings are no longer required for the proper and safe excavation near the utility lines.



#### Prior to Excavation

- Plan your project to avoid damage, dislocation or disturbance of underground utility lines.
- Check your excavation ticket and ensure it is valid prior to beginning your project.
- Conduct a site inspection before beginning your project, and do the following:
  - Verify you are at the correct location.
  - Look for clear evidence of unmarked utility lines. (See page 11.)

### **During Excavation**

- Request a re-marking of the underground utility lines if the line-location markings on the ground become illegible, for any reason.
- Notify Miss Utility three (3) working days before your ticket expires, and request a re-marking of the underground utility lines if you need to continue to excavate past the date your ticket is valid.
- Provide proper support for underground utility lines during excavation activities.
- Protect and preserve the locator's utility marks from the time the excavation begins until the marks are no longer required for the proper and safe excavation near the utility lines.

# **During Backfill Operations**

- Consult the utility line owner/operator regarding proper support and backfilling techniques.
- Use the same or similar backfill material that was originally around the utility line.
- Ensure there is proper compaction around the utility line.
- Protect all tracer wires and protect or replace warning tapes.



- <u>Don't</u> remove an abandoned utility line without first receiving authorization to do so from the utility operator who owns the line.
- **Don't** assume that an underground utility line runs straight between line markers.
- **Don't** assume the depth of a utility line.
- Don't assume that an underground utility line is at the same depth throughout the entire route of the excavation.
- <u>Don't</u> call in emergency tickets that are not really emergencies as defined in the law. (See page 54.)
- **Don't** refresh the utility locator's marks with your own paint. Call Miss Utility for a re-mark.
- **Don't** excavate under someone else's ticket.
- Don't use a three-hour notice to request a remarking of previously marked underground utility lines that have become illegible, or to update your original ticket. In these cases, call Miss Utility for a re-mark/update ticket.

# Clear Evidence of Unmarked Utility Lines

#### Visual Evidence



Knowledge



**Faded Marks** 

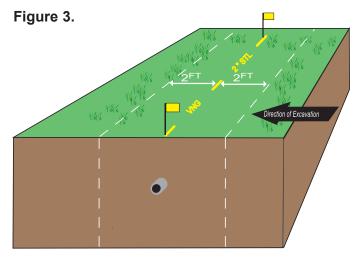


- Clear evidence includes, but is not limited to,
  - visual evidence of an unmarked utility line;
  - knowledge of the presence of a utility line;
  - or faded marks from previous markings.
- If clear evidence of an unmarked utility line is identified, notify Miss Utility, and
  - wait three (3) hours from the time you notified Miss Utility;
  - if after three (3) hours, the operator(s) has not responded, you may proceed, but we encourage you to call Miss Utility again before beginning your excavation.

# **Excavating Within Two Feet of Marks**

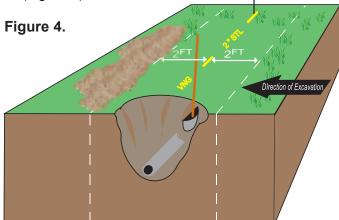
Virginia law requires you to follow certain steps when excavating within two (2) feet on either side of the staked or marked location of an underground utility line (Figure 3).

Note: For the purpose of the illustrations in Figures 3 - 11 it is assumed that the marks are placed directly over the underground utility line.

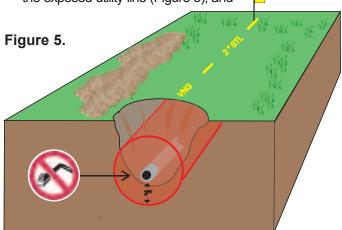


Such steps include, but may not be limited to:

 Exposing the utility to its extremities by hand digging before beginning the proposed excavation (Figure 4).



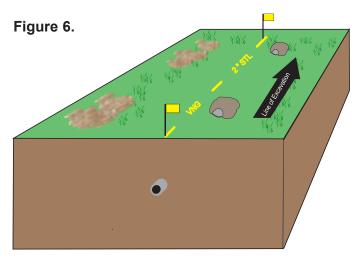
2. Not using mechanized equipment within **two (2) feet** of the exposed utility line (Figure 5); and



3. Protecting the exposed utility line from damage.

In addition, for parallel excavation to an existing utility line, such steps shall include, but may not be limited to, hand digging at reasonable distances along the line of excavation (Figure 6).

Note: The two potholes shown in Figure 6 are for illustration purposes and not intended to show a relationship in linear distance as compared to the utility line markings.



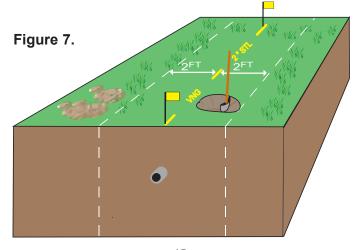
# **Exposing Underground Utility Lines**

#### **Best Practices**

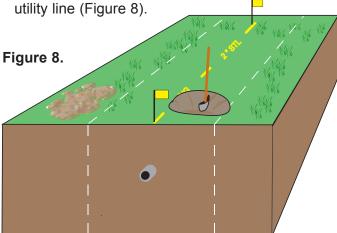
Use soft digging whenever possible.



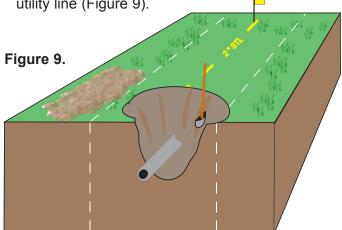
- Use only rounded/blunt edged tools when hand digging in the vicinity of utility lines. The use of axes, posthole diggers, picks, mattocks and pry/probing bars may result in damage.
- Carefully begin potholing an area to the side of the marked utility line. Keep the face of the shovel parallel with the utility line markings (Figure 7).



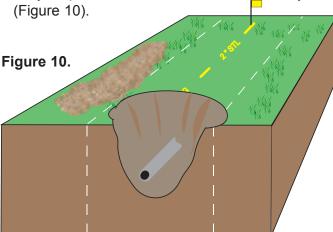
Begin extending the pothole walls toward the utility line (Figure 8).



 Once the utility line is visible, keep the face of the shovel parallel with the utility line, and use all precautions to remove the soil from around the utility line (Figure 9).



 Fully expose the extremities of the utility line (Figure 10).



Protect all exposed utility lines from damage that could result from falling debris, i.e. concrete, compacted soil etc. and from equipment and persons working in the vicinity of the exposed utility line (Figure 11).

Figure 11.

- Be aware of changes in grass color, terrain and soil types. These changes often indicate a prior disturbance of soil.
- Be aware of any softening of the soil you are digging in. This is often an indication that you are in a ditch line.
- Be aware of patches in pavement that could indicate where utilities had been previously uncovered.
- Use extreme caution when warning tapes are uncovered. Utilities are in close proximity.
- · Use rounded/blunt edged tools whenever possible.
- Take all precautions and wear all applicable personal protective equipment.



<u>Don't</u> be aggressive when digging in close proximity to utility lines.

**Don't** pry against a utility line to remove soil.

Don't become frustrated if you can not find a utility line right away. This will lead to carelessness and eventual damage to the utility line.

**Don't** take for granted that a utility line will be at a certain depth.

**Don't** expose excessive lengths of a utility line without proper support.

**Don't** leave utility lines exposed when unattended.

**Don't** relocate utility lines unless authorized and supervised by the utility line owner/operator.

#### Trenchless Excavation

When conducting trenchless excavation, take all reasonable steps necessary to protect and support underground utility lines. These steps shall include, but are not limited to the following:

- Verify that all utility lines in the area are marked. (See page 77, Rule 20VAC5-309-180.)
- Ensure that bore equipment stakes are installed at a safe distance from marked utility lines.
- When grounding rods are used, ensure that they are installed at a safe distance (at least 24 inches plus the width of the utility line, if known) away from the marked or staked location of utility lines.
- Ensure sufficient clearance is maintained between the bore path and any underground utility lines during pullback.
- Give special consideration to water and sewer systems within the area.

Note: The following may assist in determining the location of water systems that cannot be accurately located:

 Review ticket responses received from the notification center to see if water operators have been notified;

- Attempt to contact the homeowner/developer for water location information:
- Perform a visual site inspection. Look for clear evidence such as old marks, water meters, valve boxes, etc.;
- Try to locate service entrance and exit points at building to determine route;
- Be aware of patches in pavement that could indicate where water utilities had been previously uncovered;
- Attempt to locate unmarked facility services using available equipment;
- Physically verify the location of the facility service; and
- Consult the operator of unmarked water facility for additional assistance.

Note: When you can reasonably conclude that a private sewer lateral may be impacted by the use of trenchless technology for the installation or maintenance of gas or electric utility lines based upon visual evidence, knowledge of the proposed excavation site or other available information the following steps must be taken:

 Review information provided by the private sewer lateral owner or sewer system operator;

- Meet with the sewer system operator on-site, if the sewer system operator has additional information to provide about the location of private sewer laterals; or
- Conduct a visual inspection of the proposed excavation site in an effort to determine the probable path of the sewer lateral.

Additionally, excavators utilizing trenchless technology are encouraged to use the following best practices to prevent or mitigate damage to sewer laterals:

- Prior to excavation, conduct a thorough site inspection of the excavation area checking for unmarked sewer laterals and any sewer cleanouts or sewer lateral tracer wire:
- If any evidence of a sewer lateral, such as a cleanout, is discovered, the excavator shall make a reasonable attempt to determine if a tracer wire for the lateral exists;
- If a tracer wire exists, use the tracer wire to locate the sewer lateral with locating equipment;
- If a tracer wire does not exist, use any evidence and other information provided by the private sewer lateral owner and the sewer system operator to determine the path of the sewer;

lateral and plan excavation so as to eliminate or minimize conflict with the lateral;

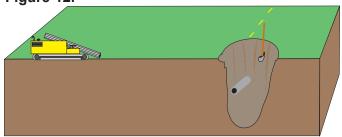
 In the event a sewer lateral cannot be located, trenchless technologies shall not be used in the excavation area;



- In the event the property is served by a septic or similar private sewer system the excavator shall work with the property owner to determine its location and protect those lines; and
- Other locating methods such as ground penetrating radar, cameras, fish tapes, sondes, or hand digging may be used to locate the lateral.

 Unless prohibited by laws, ordinances, regulations, or rules of governmental and regulatory authorities having jurisdiction, expose all utility lines which will be in the bore path by hand digging to establish the underground utility line's location prior to commencing bore (Figure 12).

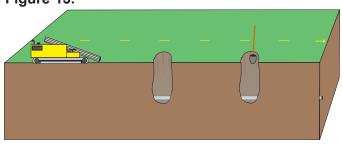
Figure 12.



 For a parallel type bore, expose the utility line by hand digging at reasonable distances along the bore path (Figure 13).

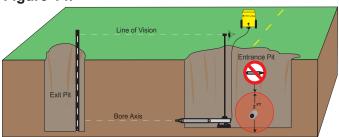
Note: The two potholes shown in Figure 13 are for illustration purposes and not intended to show a relationship in linear distance as compared to the utility line markings.

Figure 13.



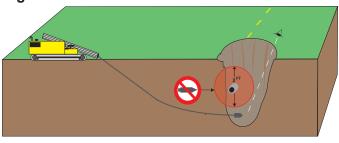
Note: In an effort to further reduce damages, excavators are encouraged to bore away from rather than cross underground utility lines whenever possible, especially when boring with "Impact Moles" (Figure 14).

### Figure 14.



- Ensure the drill head locating device is functioning properly and within its specification.
- Visually check the drill head as it passes through potholes, entrances, and exit pits (Figure 15).

### Figure 15.



 If the depth indicated by the locating device is lower than the bottom of the pothole or pit, cease boring until the hole/pit can be hand excavated further to maintain a visual inspection of the drill head.

# **Utility Line Separation**



Every year, thousands of miles of new utility lines are placed underground in the Commonwealth, and proper separation during installation is essential to the safe operation and maintenance of these underground utility lines. Installing a utility line without proper separation poses a threat to the health, safety, and welfare of the people of the Commonwealth and the environment.

At a minimum, persons installing underground utility lines are encouraged to review the laws, rules, documents and standards listed on the next page that address utility line separation and comply with the requirements and standards when applicable.

Additionally, inquire with the locality where new utility lines are to be placed underground about any local codes, standards, or regulations that specify minimum separation distances.

Visit the following webpage to link to laws, rules, documents and standards relative to utility separation in Virginia:

http://www.scc.virginia.gov/urs/mutility/sep.aspx

- Code of Virginia: § 56-257. Manner of Installing Underground Utility Lines.
- Virginia Administrative Code: 20VAC5-325-30. Installation of Utility Lines.
- Code of Federal Regulations: Part 192 Transportation of Natural and Other Gas by Pipeline: Minimum Federal Safety Standards: §192.325.
- Code of Federal Regulations: Part 195 Transportation of Hazardous Liquids by Pipeline: §195.250.
- Department of Health's Waterworks Regulations, 12VAC5-590-1150. Separation of Water Mains and Sewers.
- National Electrical Safety Code® ("NESC®"): Rules 353 & 354.
  - The NESC is published exclusively by the Institute of Electrical & Electronics Engineers, Inc. ("IEEE"). Contact the IEEE for information on how to obtain a copy.
- Utility Industry Coalition Separation Standards.

# Utility Damage and Resulting Emergencies

STOP

If damage, dislocation, or disturbance of any underground utility line, including its appurtennances, covering, and coating, occurs as a result of your excavation or demolition, immediately notify the operator of the utility line.

Emergency numbers for some of Virginia's utilities, VDOT, and railroad operators are listed beginning on page 31.

STOP

If damage, dislocation, or disturbance of an underground utility line creates an emergency, take immediate steps reasonably calculated to safeguard life, health and property.

STOP

If the damage results in the escape of any flammable, toxic, or corrosive gas or liquid...promptly report to other appropriate authorities by calling the 911 emergency telephone number.

STOP

If damage to a pipeline results in the escape of any flammable gas or liquid in the vicinity of a railroad, in addition to following the requirements on this page, contact the railroad operator directly and notify the railroad operator of the location of the emergency situation.

#### If you discover:

- Gas escaping from a pipeline (hissing sound, gas bubbling to the surface, unexplained dead vegetation on a right-of-way, natural gas smell);
- Fire, explosion or natural disaster (earthquake, wash-out, lightning, etc.) involving or occurring near pipeline facilities:
- Unauthorized digging, drilling or construction around a pipeline that may constitute an emergency or hazardous condition;
- Hazardous liquid (petroleum products) escaping from a pipeline;

#### Immediately:

- Call the pipeline company involved.
- Call the local fire department and emergency personnel (911).
- Secure the area. Keep out unnecessary personnel. Allow only trained repair and emergency personnel on to the site.
- Eliminate any source of ignition (cigarettes, matches, flint guns, welders, flashlights, electrical and electronic devices, motorized vehicles and equipment, or anything that could produce a spark).







# **Emergency Excavation**



"Emergency" means a sudden or unexpected occurrence involving a clear and imminent danger, demanding immediate action to prevent or mitigate loss of, or damage to, life, health, property, or essential public services.

When excavation or demolition is required during an emergency, all reasonable precautions shall be taken to protect underground utility lines that may be located at the site of the excavation. These precautions include, but are not limited to the actions detailed on the next page:

- Dispatched personnel or crews responding to the emergency\* shall notify Miss Utility and request an emergency locate of the underground utility lines at the earliest reasonable opportunity;
- After arriving at the site, the person responding to the emergency shall determine the need for immediate action;
- If immediate action is required, all reasonable precautions shall be taken to protect the underground utility lines. These actions shall include, but are not limited to:
  - Conduct a thorough site assessment to determine the location of underground utility lines:
  - 2. Locate the underground utility lines with acceptable equipment, if possible;
  - 3. Hand dig around the underground utility lines;
  - 4. Directly notify the utility line operators, if necessary; and
  - 5. If prudent, wait for the utility operators to mark their utility lines before proceeding with the excavation.
  - \* For emergency excavations in the vicinity of utility lines owned and/or operated by the Virginia Department of Transportation ("VDOT") contact VDOT's Transportation Emergency Operations Center ("TEOC") at 804-692-0180 for assistance.

# **Emergency Telephone Numbers**

## **Natural Gas Companies:**

Atmos Energy Co	(888) 824-3434
Company	(866) 322-8667
or	(304) 325-9165
City of Charlottesville	
City of Danville	(434) 799-5284
City of Richmond	(804) 646-8300
After Hours	(804) 644-3000
Columbia Gas Transmission Co	(800) 835-7191
Columbia Gas of Virginia	(800) 544-5606
or	(800) 543-8911
Dominion Transmission	(888) 264-8240
East Tennessee Natural Gas Co	(888) 231-2294
Roanoke Gas Company	(540) 777-4427
Shenandoah Gas Co	(540) 869-1111
or	(800) 566-7436
Southwestern Virginia Gas Co	
Spectra Energy Transmission	(888) 231-2294
Suffolk Transmission Partners	
Virginia Natural Gas	(877) 572-3342
Washington Gas	(703) 750-1000
or	(703) 750-1400
Waverly Gas Producers	
Williams Gas Pipeline - Transco	(800) 440-8475
Hazardous Liquid Companies:	
Colonial Pipeline Company	(800) 926-2728
NuStar Energy LP	
Plantation Pipeline Co	
TransMontaigne	
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# **Emergency Telephone Numbers**

#### **Electric Companies:**

Allegheny Power(800) 255-3443
Appalachian Power(800) 956-4237
A&N Electric Cooperative(757) 787-9750
B-A-R-C Electric Cooperative(800) 846-2272
Central Virginia Electric Cooperative(800) 367-2832
Community Electric Cooperative(757) 242-6181
Craig-Botetourt Electric Cooperative(540) 864-5121
Delmarva Power(800) 898-8042
Dominion Virginia Power(888) 667-3000
LG&E Energy Corporation(800) 331-7370
Mecklenburg Electric Cooperative(434) 372-6200
Northern Neck Electric Cooperative(800) 243-2860
Northern Virginia Electric Cooperative(888) 335-0500
Powell Valley Electric Cooperative(276) 346-6003
Prince George Electric Cooperative(804) 834-2424
Rappahannock Electric Cooperative(540) 891-5945
Shenandoah Valley Electric Cooperative (540) 434-2200
Southside Electric Cooperative(800) 552-2118

# **Emergency Telephone Numbers**

#### **Cable Television Companies:**

(800) 614-7903

Charter Communications

Option 6
Citizens Cablevision(540) 745-2115
Comcast: Blue Ridge, Northern Virginia, and Richmond(800) 441-6917 Option 1
Abingdon and Bristol(800) 440-0131
Cox Communications(877) 627-5647
MetroCast Communications(540) 775-0699 or(804) 758-5870
Nelson County Cablevision Corporation(434) 263-4805
Shenandoah Cable TV Company(540) 984-5281
Shentel of Virginia(877) 743-8538
Suddenlink(800) 972-5757

### **Emergency Telephone Numbers**

### **Telephone Companies:**

AboveNet(888) 636-2778
AT&T(800) 252-1133
Buggs Island Telephone Co-Operative(888) 829-2844
Cavalier Telephone Company(888) 662-5700
Citizens Telephone Cooperative(800) 941-0426
Comcast(800) 859-7712
Century Link(800) 788-3600
Highland Telephone Cooperative(540) 468-2131
Level 3 Communications, LLC(877) 366-8344
MGW Telephone Company, Inc(540) 925-2255
New Castle Telephone Company (TDS Telecom)(540) 864-5131
New Hope Telephone Cooperative(540) 363-5277 After Hours(540) 363-6277
NTELOS Network Inc(888) 753-5566
OpenBand of Virginia, LLC(571) 334-2789 After Hours(703) 502-4548
Pembroke Telephone Cooperative(540) 626-7111
Fairpoint Communications(800) 400-5568
Qwest Communications Corporation(800) 283-4237
RCN(877) 972-6622

### **Emergency Telephone Numbers**

### **Telephone Companies cont.:**

Scott County Telephone Co-Operative	(276) 452-9117	
Shenandoah Telephone Company	(540) 984-5220	
Verizon	(877) 562-2253	
Verizon Business National Fiber	,	
Security	(800) 624-9675	
Virginia Telephone Company		
(TDS Telecom)		
XO Communications, Inc.	(888) 575-6398	
Virginia Department of Transportation ("VDOT")		
Transportation Emergency		
Operations Center ("TEOC")	(804) 692-0180	
Railroad Companies:		
Bay Coast Railroad	(757) 710-0344	
or	(757) 710-2916	
Buckingham Branch		
including Amtrak	• •	
Chesapeake and Albemarle		
Commonwealth Railway	(757) 621-9270	
CSX Transporation		
including Amtrak		
Norfolk Portsmouth Belt Line	(757) 237-0519	
Norfolk Southern:		
includes Amtrak, and the Chesapeak		
and Western in Harrisonburg		
North Carolina Virginia		
Shenandoah Valley Railroad		
Winchester Western	(540) 550-2022	

### **Know Your Marks**

- In response to your ticket, operators will mark their underground utility lines in accordance with the Act and the Commission's General Marking Requirements. (See page 73, Rule 20 VAC 5-309-110.) In addition, stakeholders in Virginia have agreed on a set of marking best practices contained in the Virginia Underground Utility Marking Standards (March 2004) published by the Commission's Division of Utility and Railroad Safety ("Division"). Operators are strongly encouraged to follow the Act, the Commission Rules ("Rules") and the best practices to mark their facilities
- As part of marking the underground utility lines, operators are required to use their assigned letter designation to show the owners of the utility lines. Miss Utility uses the same letter designations to identify the utilities having facilities in your proposed excavation area. Always compare this information (provided to you on the phone, or on your fax back, etc.) with the utility owner's letter designation shown as part of the markings to see if all applicable operators have marked their facilities.
- For a list of operator letter designations visit www.va811.com; hyperlink to "Professional Excavators" then hyperlink to "Frequently Asked Questions" ("FAQ") and then hyperlink to "Virginia Marking Standards - Letter Designations."

- Utility marks indicate the approximate horizontal location of the underground utility lines on the ground to within two feet of either side of the underground utility lines.
- Underground utilities may be marked with paint, flags, stakes, or with any combination of these means utilizing the American Public Works Association ("APWA") color codes.
- RED Electric Power Lines, Cables, Conduit and Lighting Cables
- YELLOW Gas, Oil, Steam, Petroleum or Gaseous Materials
- ORANGE Communications, Alarm or Signal Lines, Cables or Conduits
- BLUE Potable Water
- PURPLE Reclaimed Water, Irrigation and Slurry lines
- GREEN Sewer and Drain Lines
- PINK Temporary Survey Markings
- WHITE Proposed Excavation

### Miss Utility of Virginia®

Virginia Utility Protection Service, Inc. ("VUPS") has been certified by the Commission to provide notification service for the entire state. Under the direction of a board of directors, VUPS (also known as Miss Utility of Virginia®) operates its primary and back-up notification centers in Roanoke. VUPS must provide notification service in compliance with the Act and the Commission's notification center operational and performance rules. At least 20 percent of the notification center's board of directors must be non-operator representatives.

- Virginia law requires that every operator as defined in the Act join the notification center. This does not apply to VDOT.
- Operators are required to provide Miss Utility data that will allow proper notification to the operator of excavation near the operator's underground utility lines. This data must be updated in accordance with the Commission's Rules.
- Miss Utility provides emergency service 365 days a year, 24 hours a day, and regular service Monday through Friday, 7:00 a.m. - 5:00 p.m. excluding legal state and national holidays.

- When an excavator contacts Miss Utility of Virginia<sup>®</sup> and gives notice of a proposed excavation for a specific location/address, the information is entered into an electronic mapping system. The system will identify member operators who have underground facilities in the proposed area of excavation, and a notice is sent to those member operators.
- Once member operators receive the notice of a proposed excavation planned in such proximity to their underground utility lines that their lines may be destroyed, damaged, dislocated, or disturbed, it is the operators' responsibility to mark the approximate horizontal location of their utility lines within the time allowed by law and report the marking status to the Miss Utility Positive Response System. (See page 60, §56-265.19.)
- Miss Utility of Virginia<sup>®</sup> provides free training on how to best use their notification services including web ticket entry. To request training, call Miss Utility at 811.



### The Positive Response System

- · You can check the status of your ticket by
  - calling 1-800-552-3120,
  - at www.va811.com/Announcement/tabid/ 673/Default.aspx or
  - by receiving an email, FAX or other mutually acceptable means of automatic transmission that you and Miss Utility have agreed upon.
- Member operators or their contract locators use the following codes to report to the Positive Response System their responses to your ticket. Please use this information for a safe and damage free excavation.

### Locator Response Codes and Policies

#### 10 Marked.

Code 10 shall be used when all utility lines within the area of proposed excavation have been marked.

## 11 Marked; abandoned utility lines may be in area.

Code 11 shall be used when all utility lines within the area of proposed excavation have been marked and the operator/locator is aware of the presence of abandoned utility lines.

# 12 Marked up to privately owned utility; contact private utility owner for locate.

Code 12 shall be used when all utility lines within the area of proposed excavation have been marked and the operator/locator is aware of the presence of a private utility line.

# 13 Marked up to privately owned utility; contact private utility owner for locate. Abandoned utility lines may be in area.

Code 13 shall be used when all utility lines within the area of proposed excavation have been marked and the operator/locator is aware of the presence of both private utility lines and abandoned utility lines.

### 30 No conflict; utility is outside of stated work area.

Code 30 shall be used when the operator/locator has determined that no utility lines are in the area of proposed excavation.

# 31 No conflict; utility is outside of stated work area. Abandoned utility lines may be in area.

Code 31 shall be used when the operator/locator has determined that no utility lines are in the area of proposed excavation and the operator/locator is aware of the presence of abandoned utility lines.

# 32 No conflict; privately owned utility on property. Contact private utility owner for locate.

Code 32 shall be used when the operator/locator has determined that no utility lines are in the area of proposed excavation and the operator/locator is aware of the presence of private utility lines.

# 33 No conflict; privately owned utility on property. Contact private utility owner for locate. Abandoned utility lines may be in area.

Code 33 shall be used when the operator/locator has determined that no utility lines are in the area of proposed excavation and the operator/locator is aware of the presence of both private utility lines and abandoned utility lines.

### 40 Agree to a meeting as proposed by excavator.

Code 40 shall be used in response to a meeting notice when the operator/locator agrees to a meeting at the specific time and location proposed by the excavator. The meeting must take place by 7:00 a.m. on the third working day following the meeting notice.

## <u>41</u> Mutually agreed to alternative meeting time and location.

Code 41 shall be used when an operator/locator is unable to attend a meeting as proposed by the excavator. The operator/locator shall set up a mutually agreeable time and location to meet no later than 7:00 a.m. on the third working day following the meeting notice. Both excavator and operator/locator are encouraged to document the arrangements.

# $\underline{50}$ Installation records, maps or other documents have been provided.

Code 50 shall be used in response to a designer ticket when the designer does not request field markings to be placed. The information provided by the operator/locator may include, but is not limited to, maps, surveys, installation records, as-built records, or other means.

# 51 Records or information regarding private sewer laterals have been provided.

Code 51 shall be used for any of the following conditions:

When a sewer system operator has provided to the excavator copies of the best reasonably available records regarding the location of private sewer laterals by electronic message, mail, facsimile, or other delivery methods.

If the sewer system operator has no such records, but has notified the excavator of additional information about the location of private sewer laterals.

If the excavation area affects 25 or more private sewer laterals, and the sewer system operator provides records regarding the location of private sewer laterals within 15 working days, or another mutually agreeable time. Both sewer system operator and excavator are encouraged to document the agreement in writing (electronic or paper).

# <u>52</u> Records regarding private sewer laterals have been provided on an accessible electronic system.

Code 52 shall be used when the sewer system operator has provided the best reasonably available records regarding the location of private sewer laterals on the Internet or another readily accessible electronic system. If the system's location is unknown to the excavator, the excavator shall contact the operator to determine the system's location and how to retrieve the records.

# 53 Agreed to an on-site meeting to provide additional information regarding private sewer laterals.

Code 53 shall be used when a sewer system operator has agreed to meet the excavator on-site because the sewer system operator has no records regarding the location of private sewer laterals, but the sewer system operator has additional information to convey to the excavator.

## 60 Locator and excavator agreed and documented marking schedule.

Code 60 shall be used when an operator/locator has contacted the excavator and the excavator has agreed to an alternate marking schedule. Operator/locator must document agreement in writing (electronic or paper) to include, at a minimum, full name of excavator representative agreeing to the schedule, the excavator's phone number, excavator's signature if possible, reasons for the marking schedule, and date and time of the agreement. The operator/locator must provide the name of the excavator's representative agreeing to the alternate marking schedule to VUPS' Positive Response System with the use of Code 60.

70 Critical facility marked. In case of dredging, the approximate horizontal location of the utility line has been identified. Locator or utility operator shall notify directly the person listed as the field contact on the applicable Miss Utility Ticket prior to posting Code 70 to the Excavator-Operator Information Exchange System. Operator must be present during dredging or other forms of excavation operations.

Code 70 shall only be used when the operator's presence is necessary during dredging or other forms of excavation operations. In the case of dredging, an acceptable means of identifying and or marking the approximate horizontal location of the underground utility line must be determined and that information must be provided to the person intending to dredge. For other forms of excavation, the underground utility line(s) shall be marked. When an operator/locator responds to a ticket with a code 70, it shall be the operator/locator's responsibility to provide the excavator/dredger with the appropriate name and preferred contact information, i.e. telephone number, facsimile ("Fax") number, email address and or mailing address, for the excavator/dredger to use to inform the operator of the date and time when the dredging or other forms of excavation operations will commence. Operator must be present during such operations.

# <u>71</u> Critical facility not marked; locator or utility operator must contact excavator and must be present during excavation.

Code 71 shall only be used when the operator's presence is necessary during the excavation due to the fact that the underground utility line is of such importance to national security that marking it in accordance with the Underground Utility Damage Prevention Act is not appropriate. When an operator/locator responds to a ticket with code 71, it shall be the locator/operator's responsibility to provide the excavator with the appropriate name and contact information for the excavator to use to inform the operator of the date and time when excavation will commence. Operator must be present during excavation.

## 90 Locator could not gain access to property; locator will contact excavator.

When an operator/locator responds to a ticket with code 90, it shall be the operator/locator's responsibility to contact and inform the excavator of their inability to gain access to the property to mark the area of excavation. The operator/locator must provide the name of the excavator's representative that they contacted to VUPS' Positive Response System with the use of code 90. It shall be the excavator's responsibility to make the property accessible to the operator/locator and call a new ticket for those operators who initially responded to the ticket with a code 90, if necessary.

## 91 Incorrect address information; please call Miss Utility and provide correct information.

Code 91 shall be used when the excavator has provided incorrect address information to the notification center. The operator/locator shall make a reasonable attempt to contact the excavator to verify the address information before the placement of this code. It shall be the excavator's responsibility to verify the address information and call the notification center to request a new ticket with the correct information.

# 93 Scope of work is too large; please call Miss Utility to reschedule.

Code 93 shall be used when the area of proposed excavation exceeds the limits of a work area as defined in § 56-265.17. F. (See page 58.) It shall be the excavator's responsibility to call the notification center and request a new ticket or tickets that complies with § 56-265.17 F.

# 94 Location of proposed work is unclear; please call Miss Utility and provide the specific location on a new ticket.

Code 94 shall be used when the operator/locator is unable to determine the area of proposed excavation according to the specific location delineated on the ticket. The operator/locator must make a reasonable attempt to contact the excavator to clarify the specific location of where the excavation is planned. It shall be the excavator's responsibility to call the notification center and request a new ticket with a specific location of proposed excavation if the original area is insufficient.

### 96 No response required from this terminal.

Code 96 shall be used if no response is required from a specific terminal ID code. If an operator has multiple terminal codes that overlap, they may choose to use Code 96 to display that a certain terminal is not responding. If a ticket is cancelled, the operator/locator shall use this code to respond. (VUPS will not post responses on a cancelled ticket to the Positive Response system.) If a ticket is transmitted to an operator/locator's terminal, but field markings are performed by another person under contract with the operator, code 96 may be used by the operator/locator to convey that they will not respond. The person responsible for marking the utility line is required to update the positive response system with the current marking status. Operator/locators are encouraged to document the reason that no response was required.

<sup>&</sup>lt;sup>1</sup>In the event that the person responsible for marking the utility line is the same as the person requesting the ticket, an additional response is not required.

#### 97 Extraordinary circumstances exist.

Code 97 is only used in the event that extraordinary circumstances exist. These circumstances may include: floods, snow, ice storms, tornadoes, earthquakes, other natural disasters, blackouts, and massive computer network failures.

In addition to Locator Response Codes entered by Miss Utility's member operators or their contract locators, the Positive Response System generates the following automated responses when applicable:

#### 000 - No Response.

<u>000</u> This is an automated place holder generated by Miss Utility's Positive Response System that indicates the member operator has not yet responded and the ticket's due by date and time has not yet passed. Once a response is posted by the member operator or the due by date and time has passed, the code is removed.

#### 888 - Ticket Has Been Cancelled.

888 This is an automated response generated by Miss Utility's Positive Response System when a ticket is cancelled by the person who requested it. Once this response is posted, the system will not accept any further response from any member operator or their contract locator.

#### 999 - Has Not Responded.

999 This is an automated response generated by Miss Utility's Positive Response System for Normal, Update, Re-mark, Designer and Meeting tickets immediately after the due by date and time has passed and a member operator or its contract locator has failed to post a response code to the Positive Response System. A "No Show" ticket is transmitted by Miss Utility to the member operator as the response 999 is posted to the system.

### Types of Notices to Miss Utility

**Normal Ticket:** Before beginning an excavation or demolition, the law requires that you notify Miss Utility. The law allows member operators 48 hours from 7:00 a.m. the next working day after you call Miss Utility to mark their underground utility lines. In addition to contacting Miss Utility at 811, for this ticket type, web ticket entry is also available. A positive response is required for this type of ticket.

**Emergency Ticket:** If excavation is necessary due to a sudden or unexpected occurrence involving a clear and imminent danger, demanding immediate action to prevent or mitigate loss of, or damage to, life, health, property, or essential public services an emergency ticket shall be submitted to Miss Utility. (See page 73, 20 VAC 5-309-90. for details.) A positive response is not required for this type of ticket.

**Re-mark and Update ticket:** Notify Miss Utility any time the line-location markings on the ground become illegible, or three (3) working days before the end of the fifteen day period, if you intend to continue with your excavation. (See page 58, subsection D of § 56-265.17. for details.) A positive response is required for this type of ticket.

**Three-Hour ("3-hour") Ticket:** A 3-hour ticket shall only be used by the excavator when the excavator has:

- given proper notice to Miss Utility of a proposed excavation area;
- waited in accordance with the time required by law;
- conducted a site inspection of the proposed excavation area; and
- determined that there is clear evidence of an unmarked underground utility line(s) in the area of the proposed excavation, or at any time while the excavator's ticket is valid, and a previously unmarked utility line(s) is discovered in the excavation area. (See page 66, subsection C of § 56-265.24 for details.) A positive response is not required for this type of ticket.

### After-hours 3-hour Ticket Policy

The following policy has been formulated by the Commission's Advisory Committee (established in accordance with § 56-265.31.A), in an attempt to clarify the use of "After-hours 3-hour tickets:"

The notification center shall accept and process 3-hour tickets received after the center's normal business hours. Operators/locators receiving such notices shall respond to the ticket within 3-hours from the excavator's notice to the notification center. Excavators shall remain on-site for at least 3-hours from their notice to the center.

Meeting Ticket: Excavators who are planning a project of sufficient complexity should request a meeting with the operators who have underground utility lines in the proposed area of excavation. The meeting is to discuss the marking of the operator's lines, and not to locate and/or mark the lines. Remember, a meeting ticket is not a valid ticket to excavate, and an excavator can not submit an excavation ticket to Miss Utility until after the meeting has taken place, or after 7:00 a.m. on the third working day following the meeting ticket. (See page 64, subsection § 56-265.22:1. for details.) A positive response is required for this type of ticket.

Special Project Ticket: An excavator may request a special project ticket from Miss Utility for the purpose of notifying the operators of the excavator's desire to enter into an agreement for locating and protecting the operator's underground utility lines for a specific, unique or long-term project. An excavator using a special project ticket shall have complete control over all activities within the project area. The terms and conditions of such agreements must be agreed upon, in writing, by the excavator and the operator before excavation commences. Such agreement and compliance with the terms of the agreement shall constitute an exemption from the requirements of § 56-265.17. subsections A, B, C, D and E. (See page 59, subsection G of § 56-265.17. for details.) A positive response is not required for this type of ticket.

**Designer Ticket:** A designer (any licensed professional), designated by a project owner, who prepares drawings and plans for government projects, commercial projects, and residential projects consisting of twenty-five or more units, or industrial projects, that require the approval of governmental or regulatory authorities having jurisdiction over the project area, may notify Miss Utility and request to receive underground utility line information from the utility operators. (See page 59, § 56-265.17:1. for details.) A positive response is required for this type of ticket.

**Cancellation or Corrections:** Miss Utility will accept cancellations and corrections to existing tickets.



# Which Utility Lines Will Not Be Marked?

- Utilities typically do not mark privately-owned underground lines such as sprinkler systems, or power lines to garages. It is the responsibility of the utility line owner to locate, or to hire someone to locate those lines.
- By law VDOT is not required to be a member of Miss Utility and will not be notified by Miss Utility of your proposed excavation. Excavators are encouraged to contact the applicable VDOT area offices directly for information on VDOT's procedures for marking of their underground utility lines. VDOT's Transportation Emergency Operations Center ("TEOC") can also be contacted at 804-692-0180 for information pertaining to applicable area offices. TEOC's service is available 24 hours a day, seven days a week for emergency notification.
- Underground utility lines of such importance to national security that marking them in accordance with the Act is not appropriate. However, a system is in place to provide the excavator with an appropriate name and contact information for the excavator to use to inform the operator of the date and time when excavation will commence. (See page 45, policy for code 71.)

#### DISCLAIMER OF LIABILITY

This reproduction of the Act, and the Commission's Rules for Enforcement of the Act is provided solely as a convenience for the persons reading this manual, and not as a legal reference. Persons seeking a legal reference should utilize the Code of Virginia and the Virginia Administrative Code.



#### VIRGINIA UNDERGROUND UTILITY DAMAGE PREVENTION ACT

§ 56-265.14. Short title.

This chapter may be cited as the "Underground Utility Damage Prevention Act."

#### § 56-265.15. Definitions; calculation of time periods.

A. As used in this chapter:

"Abandoned" means no longer in service and physically disconnected from a portion of the underground utility line that is in use for storage or conveyance of service.

"Commission" means the State Corporation Commission.

"Contract locator" means any person contracted by an operator specifically to determine the approximate horizontal location of the operator's utility lines that may exist within the area specified by a notice served on a notification center.

"Damage" means any impact upon or removal of support from an underground facility as a result of excavation or demolition which according to the operating practices of the operator would necessitate the repair of such facility.

"Demolish" or "demolition" means any operation by which a structure or mass of material is wrecked, razed, rendered, moved, or removed by means of any tools, equipment, or discharge of explosives which could damage underground utility lines.

"Designer" means any licensed professional designated by the project owner who designs government projects, commercial projects, residential projects consisting of 25 or more units, or industrial projects, which projects require the approval of governmental or regulatory authorities having jurisdiction over the project area.

"Emergency" means a sudden or unexpected occurrence involving a clear and imminent danger, demanding immediate action to prevent or mitigate loss of, or damage to, life, health, property, or essential public services.

"Excavate" or "excavation" means any operation in which earth, rock, or other material in the ground is moved, removed, or otherwise displaced by means of any tools, equipment, or explosives and includes, without limitation, grading, trenching, digging, ditching, dredging, drilling, augering, tunneling, scraping, cable or pipe plowing and driving, wrecking, razing, rendering, moving, or removing any structure or mass of material. "Excavate" or "excavation" shall not include installation of a sign that consists of metal, plastic, or wooden poles placed in the ground by hand or by foot without the use of tools or equipment.

"Extraordinary circumstances" means floods, snow, ice storms, tornadoes, earthquakes, or other natural disasters.

"Hand digging" means any excavation involving nonmechanized tools or equipment. Hand digging includes, but is not limited to, digging with shovels, picks, and manual post hole diggers, vacuum excavation or soft digging.

"Notification center" means an organization whose membership is open to all operators of underground facilities located within the notification center's designated service area, which maintains a data base, provided by its member operators, that includes the geographic areas in which its member operators desire transmissions of notices of proposed excavation, and which has the capability to transmit, within one hour of receipt, notices of proposed excavation to member operators by teletype, telecopy, personal computer, or telephone.

"Notify," "notice" or "notification" means the completed delivery of information to the person to be notified, and the receipt of same by such person in accordance with this chapter. The delivery of information includes, but is not limited to, the use of any electronic or technological means of data transfer.

"Operator" means any person who owns, furnishes or transports materials or services by means of a utility line.

"Person" means any individual, operator, firm, joint venture, partnership, corporation, association, municipality, or other political subdivision, governmental unit, department or agency, and includes any trustee, receiver, assignee, or personal representative thereof."Private sewer lateral" means a privately owned, legally authorized utility line that transports wastewater from one or more buildings to a sewer system utility line owned by a sewer system operator.

"Private sewer lateral" means a privately owned, legally authorized utility line that transports wastewater from one or more buildings to a sewer system utility line owned by a sewer system operator.

"Private water lateral" means a privately owned, legally authorized utility line that supplies water from a water system utility line owned by a water system operator to one or more buildings or properties.

"Sewer system" means a system of utility lines used for conveying wastewater, and includes sewer system laterals but does not include private sewer laterals.

"Sewer system lateral" means a lateral utility line located in the public right-ofway or public sewer easement, owned by a sewer system operator, and used to transport wastewater to the operator's main sewer line.

"Sewer system operator" means an operator of a sewer system.

"Soft digging" means any excavation using tools or equipment that utilize air or water pressure as the direct means to break up soil or earth for removal by vacuum excavation.

"Special project notice" means a valid notice to the notification center by an excavator covering a specific, unique or long-term project.

"Utility line" means any item of public or private property which is buried or placed below ground or submerged for use in connection with the storage or conveyance of water, sewage, telecommunications, electric energy, cable television, oil, petroleum products, gas, or other substance, and includes but is not limited to pipes, sewers, combination storm/sanitary sewer systems, conduits, cables, valves, lines, wires, manholes, attachments, and those portions of poles below ground. The term "sewage" as used herein does not include any gravity storm drainage systems. Except for any publicly owned gravity sewer system within a county which has adopted the urban county executive form of government, the term "utility line" does not include any gravity sewer system or any combination gravity storm/sanitary sewer system within any counties, cities, towns or political subdivisions constructed or replaced prior to January 1, 1995. No excavator shall be held liable for the cost to repair damage to any such systems constructed or replaced prior to January 1, 1995, unless such systems are located in accordance with § 56-265.19.

"Water system" means a system of utility lines used for supplying water, and does not include private water laterals.

"Water system operator" means an operator of a water system.

"Willful" means an act done intentionally, knowingly, and purposely, without justifiable excuse, as distinguished from an act done carelessly, thoughtlessly, heedlessly or inadvertently.

"Working day" means every day, except Saturdays, Sundays, and legal state and national holidays.

B. Unless otherwise specified, all time periods used in this chapter shall be calculated from the time of the original notification to the notification center as provided in § 56-265.17. In addition, all time periods exclude Saturdays, Sundays, and legal state and national holidays.

#### § 56-265.15:1. Exemptions; routine maintenance.

Nothing in this chapter shall apply to:

- 1. Any hand digging performed by an owner or occupant of a property.
- 2. The tilling of soil for agricultural purposes.
- 3. Any excavation done by a railroad when the excavation is made entirely on the land which the railroad owns and on which the railroad operates, provided there is no encroachment on any operator's rights-of-way or easements.
- 4. An excavation or demolition during an emergency, as defined in § 56-265.15, provided all reasonable precaution has been taken to protect the underground utility lines.

In the case of the state highway systems or streets and roads maintained by political subdivisions, officials of the Department of Transportation or the political subdivision where the use of such highways, roads, streets or other public way is impaired by an unforeseen occurrence shall determine the necessity of repair beginning immediately after the occurrence.

- 5. Any excavation for routine pavement maintenance, including patch type paving or the milling of pavement surfaces, upon the paved portion of any street, road, or highway of the Commonwealth provided that any such excavation does not exceed a depth of twelve inches (0.3 meter).
- Any excavation for the purpose of mining pursuant to and in accordance with the requirements of a permit issued by the Department of Mines, Minerals and Energy.
- 7. Any hand digging performed by an operator to locate the operator's utility lines in response to a notice of excavation from the notification center, provided all reasonable precaution has been taken to protect the underground utility lines.
- 8. Any installation of a sign that does not involve excavation as defined in  $\S$  56-265.15.
- § 56-265.16. Repealed by Acts 1989, c. 448, effective July 1, 1990.

#### § 56-265.16:1. Operators to join notification centers; certification.

- A. Every operator, including counties, cities and towns, but excluding the Department of Transportation, having the right to bury underground utility lines shall join the notification center for the area.
- B. Every notification center shall be certified by the Commission. The Commission shall determine the optimum number of notification centers in the Commonwealth. If the Commission determines that there shall be more than one notification center in the Commonwealth, it shall define the geographic area to be served by each notification center.

- C. Any corporation desiring to serve as the notification center for an area of the Commonwealth may apply to the Commission to be certified as the notification center for that area. The Commission shall have authority to grant, amend, or revoke certificates under regulations promulgated relating to certification. An application for certification shall include such information as the Commission may reasonably require addressing the applicant's operational plan for the notification center.
- D. Every Commission action regarding the optimum number of notification centers, the geographic area to be served by each notification center, the promulgation of notification center certification regulations, and the grant, amendment, or revocation of notification center certifications shall be made in furtherance of the purpose of preventing or mitigating loss of, or damage to, life, health, property or essential public services resulting from damage to underground utility lines. Any action by the Commission to approve or revoke any notification center certification shall:
- 1. Ensure protection for the public from the hazards that this chapter is intended to prevent or mitigate;
- 2. Ensure that all persons served by the notification center receive an acceptable level of performance, which level shall be maintained throughout the period of the notification center's certification; and
- 3. Require the notification center and its agents to demonstrate financial responsibility for any damages that may result from their violation of any provision of this chapter. Such requirement may be met by purchasing and maintaining liability insurance on such terms and in such amount as the Commission deems appropriate.
- E. A notification center shall maintain an excavator-operator information exchange system in accordance with notification center certification regulations promulgated by the Commission. The members of a notification center shall be responsible for developing and implementing a public awareness program to ensure that all parties affected by this chapter shall be aware of their responsibilities. There shall be only one notification center certified for each geographic area defined by the Commission.

### § 56-265.17. Notification required prior to excavation or demolition; waiting periods; marking of proposed site.

A. Except as provided in subsection G, no person, including operators, shall make or begin any excavation or demolition without first notifying the notification center for that area. Notice to the notification center shall be deemed to be notice to each operator who is a member of the notification center. The notification center shall provide the excavator with the identity of utilities that will be notified of the proposed excavation or demolition. Except for counties, cities, and towns, an excavator who willfully fails to notify the notification center of proposed excavation or demolition shall be liable to the operator whose facilities are damaged by that excavator, for three times the cost to repair the damaged property, provided the operator is a member of the notification center. The total amount of punitive damages

awarded under this section, as distinguished from actual damages, shall not exceed \$10,000 in any single cause of action.

- B. Except in the case of an emergency as defined in  $\S$  56-265.15, the excavator may commence work under one of the following conditions:
- 1. After waiting forty-eight hours, beginning 7:00 a.m. the next working day following notice to the notification center;
- At any time, if the excavator confirms that all applicable operators have either marked their underground utility lines or reported that no lines are present in the vicinity of the excavation or demolition. The confirmation shall be obtained by contacting or receiving information from the notification center's excavatoroperator information exchange system; or
- 3. If informed by the notification center that no operators are to be notified.

If any operator fails to respond to the excavator-operator information exchange system as required by this chapter, the notification center shall renotify any operator of its failure. This renotification shall not constitute an exemption from the duties of the operator set forth in § 56-265.19.

- C. The excavator shall exercise due care at all times to protect underground utility lines. If, upon arrival at the site of a proposed excavation, the excavator observes clear evidence of the presence of an unmarked utility line in the area of the proposed excavation, the excavator shall not begin excavating until three hours after an additional call is made to the notification center for the area. The operator of any unmarked utility line shall respond within three hours of the excavator's call to the notification center.
- D. The excavator's notification shall be valid for fifteen working days from 7:00 a.m. on the next working day following notice to the notification center. Three working days before the end of the fifteen-working-day period, or at any time when line-location markings on the ground become illegible, the excavator intending to excavate shall contact the notification center and request the remarking of lines. The operator shall re-mark the lines as soon as possible; however, the re-marking of the lines shall be completed within forty-eight hours from 7:00 a.m. on the next working day following the request for the re-mark. Such re-marking shall be valid for an additional fifteen working days from 7:00 a.m. on the next working day following notice to the notification center.
- E. In the event a specific location of the excavation cannot be given as required by subdivision 2 of § 56-265.18, prior to notifying the notification center pursuant to subsection A of this section, the person proposing to excavate or demolish shall mark the route or boundary of the site of the proposed excavation or demolition by means of white paint, if practical.
- F. The extent of the excavator's proposed work shall be a work area that can be excavated within fifteen working days from 7:00 a.m. on the next working day following notice to the notification center. The area covered under each notice shall not exceed one mile.

G. An excavator may request a special project notice from the notification center for the purpose of notifying the operators of the excavator's desire to enter into an agreement for locating and protecting the operator's underground utility lines for a specific, unique or long-term project. An excavator using a special project notice shall have complete control over all activities within the project area. The terms and conditions of such agreements must be agreed upon, in writing, by the excavator and the operator before excavation commences. Such agreement and compliance with the terms of the agreement shall constitute an exemption from the requirements of subsections A, B, C, D and E of this section.

#### § 56-265.17:1. Notification and procedures for designers.

- A. Each designer, who prepares drawings and plans for projects requiring excavation or demolition work, may notify the notification center and provide the center with the information required by § 56-265.18 and the designer's professional license number.
- B. If a designer notifies the notification center to receive underground utility line information in accordance with § 56-265.17:3, the designer shall:
- 1. Indicate on the construction drawings, the type of underground utility lines, the horizontal location of these lines as provided by the operators, and the names of the operators of these lines;
- Consider, when designing a project and preparing drawings therefor, the location of existing underground lines so as to minimize damage or interference with the existing facilities;
- 3. Indicate, on the construction plans or drawings, the designer ticket number and the notification center's toll-free number; and
- 4. Request only one designer ticket per project through the notification center at no cost.

#### § 56-265.17:2. Procedures for project owners.

The project owner shall provide copies of those portions of the drawings that affect the respective operator with underground utility lines in the project area who have responded in accordance with § 56-265.17:3.

#### § 56-265.17:3. Procedures for operators in response to a designer notice.

An operator, upon notification by a designer in accordance with § 56-265.17:1, shall:

- 1. Respond to the designer's request for underground utility line information within fifteen working days in accordance with subdivisions 2, 3, and 4 of this section:
- 2. Provide designers with the operator's name, the type of underground utility line, and the approximate horizontal location of the utility line. The foregoing information may be provided to the designer through the means that include,

but are not limited to, field locates, maps, surveys, installation records or othermeans. If the designer requests field locates, the operator shall provide field locates in accordance with the accuracy set forth in subsection A of § 56-265.19. Marking shall be done by both paint and flags whenever possible;

- 3. Provide such information about the location of the utility lines to designers for informational purposes only. Operators will not be liable for any incorrect information provided or for the subsequent use of this information, nor will they be subject to civil penalties for the accuracy of the information or marks provided. Any concerns about the accuracy of information or marks should be directed to the appropriate operator; and
- 4. Respond to the operator-excavator information exchange system by no later than 7:00 a.m. on the sixteenth working day following the designer's notice to the notification center.

#### § 56-265.18. Notification requirements.

Every notice served by any person on a notification center shall contain the following information:

- 1. The name of the individual serving such notice.
- 2. The specific location of the proposed work. In the event a specific description of the location of the excavation cannot be given, the person proposing to excavate or demolish shall comply with subsection E of § 56-265.17.
- 3. The name, address, telephone number, and telefacsimile number if available, of the excavator or demolisher, to whom notification can be given.
- 4. The excavator's or demolisher's field telephone number, if one is available.
- 5. The type and extent of the proposed work.
- 6. The name of the person for whom the proposed work is being performed.

#### § 56-265.19. Duties of operator; regulations.

A. If a proposed excavation or demolition is planned in such proximity to the underground utility line that the utility line may be destroyed, damaged, dislocated, or disturbed, the operator shall mark the approximate horizontal location of the underground utility line on the ground to within two feet of either side of the underground utility line by means of stakes, paint, flags, or a combination thereof. The operator shall mark the underground utility line and report the marking status to the excavator-operator information exchange system by no later than 7:00 a.m. on the third working day following the excavator's notice to the notification center, unless the operator is unable to do so due to extraordinary circumstances. If the operator is unable to mark the location within the time allowed under this section due to extraordinary circumstances, the operator shall notify directly the person who proposes to excavate or demolish and shall, in addition, notify the person of the date and time when the location will be marked. The deferral to mark for extraordinary circumstances shall be no

longer than ninety-six hours from 7:00 a.m. on the next working day following notice to the notification center, unless a longer time is otherwise agreed upon by the operator and excavator. The operator shall also inform the notification center of any deferral.

- B. If a proposed excavation or demolition is not planned in such proximity to the operator's underground utility lines that the utility line may be damaged, the operator shall so report to the notification center's excavator-operator information exchange system no later than 7:00 a.m. on the third working day following the excavator's notice to the notification center.
- C. An operator shall participate in all preplanning and preconstruction meetings originated by state, county or municipal authorities relating to proposed construction projects which may affect the operator's existing or future utility lines and shall cooperate in implementing decisions reached in such preplanning and preconstruction meetings.
- D. Any contract locator acting on behalf of an operator and failing to perform the duties imposed by this chapter shall be subject to the liabilities in § 56-265.25 and the civil penalties in § 56-265.32.
- E. Locators shall be trained in applicable locating industry standards and practices no less stringent than the National Utility Locating Contractors Association's locator training standards and practices. Each locator's training shall be documented. Such documents shall be maintained by the operator or contract locator.
- F. The Commission shall be authorized to adopt regulations designating: (i) letters for each operator to be used in conjunction with marking of underground utility lines, and (ii) symbols for marking of underground utility lines, in compliance with subsection B (sic) [2] of § 56-265.17:3. Such letter designation and marking symbols shall be in accordance with industry standards.
- G. For underground utility lines abandoned after July 1, 2002, operators shall make a reasonable attempt to keep records of these abandoned utility lines, excluding service lines connected to a single-family dwelling unit. When an operator has knowledge that the operator's abandoned utility lines may be present within the area of the proposed excavation, the operator shall provide a response to the excavator-operator information exchange system. Such information regarding abandoned lines shall be for informational purposes only. An operator shall not be liable to any person, or subject to civil penalties, as a result of the operator's providing incorrect information regarding abandoned lines or the subsequent use of such information. The excavator-operator information exchange system may refer any person with concerns about the accuracy of information regarding abandoned lines to the appropriate operator.
- H. An operator shall respond to an emergency notice as soon as possible but no later than three hours from the excavator's call to the notification center.

#### § 56-265.19:1. Private sewer laterals and sewer system laterals.

- A. Notwithstanding any provision of this chapter to the contrary, the protection of sewer system laterals and private sewer laterals shall be implemented as provided in this section. When an excavation is to take place within a public right-of-way or public sewer easement, the sewer system operator shall exercise reasonable care to mark the approximate horizontal location of sewer system laterals within the public right-of-way or public sewer easement as provided in § 56-265.19.
- B. When (i) an excavation is to take place outside the public right-of-way or public sewer easement, (ii) the excavation involves the installation or maintenance of gas or electric utility lines by trenchless technology, (iii) the potential for a conflict with a sewer lateral exists, and (iv) sewer system laterals are located in the public right-of-way:
- 1. The sewer system operator shall exercise reasonable care to mark the approximate horizontal location of sewer system laterals by:
- a. Marking the location of the sewer system lateral where it meets the edge of the right-of-way or public sewer easement, if known; or
- b. If the location described in subdivision B 1 a is unknown, marking the location where the sewer system lateral connects to the sewer system main.
- 2. When the sewer system laterals have been marked in accordance with subdivision B 1 and the excavator reasonably concludes that a private sewer lateral may be impacted by the planned excavation based upon visual evidence, knowledge of the proposed excavation site, or other information available to the excavator, the excavator shall exercise reasonable care to protect the private sewer lateral. For purposes of this subdivision, reasonable care includes the following actions:
- a. Reviewing information provided by the private sewer lateral owner;
- Meeting with the sewer system operator on-site, if the sewer system operator has additional information to provide about the location of private sewer laterals; or
- Conducting a visual inspection of the proposed excavation site in an effort to determine the probable path of the sewer lateral.
- C. When (i) an excavation is to take place within or outside the public right-of-way or public sewer easement, (ii) the excavation involves the installation or maintenance of gas or electric utility lines by trenchless technology, (iii) the potential for a conflict with a sewer lateral exists, and (iv) private sewer laterals are located in the public right-of-way or easement:
- 1. The sewer system operator shall assist the excavator by one of the following methods, unless the operator marks private sewer laterals in the manner required for its sewer system laterals under subsection B:

- a. Provide copies of the best reasonably available records regarding the location of the private sewer laterals by electronic message, mail, facsimile, or other delivery method. If an excavation affects 25 or more private sewer laterals, the sewer system operator's response shall be in accordance with the timelines set forth in § 56-265.17:3. If the provision of records required by this subsection imposes an unreasonable burden or substantial cost upon a sewer system operator, the excavator and the sewer system operator shall endeavor in good faith to reach an agreement to provide the sewer system operator with additional time to provide the records or any other mutually agreeable accommodation.
- b. Provide the best reasonably available records on the Internet or another readily accessible electronic system in order that the records may be retrieved by the excavator from a remote location. If the sewer system operator has implemented such a system, then the sewer system operator shall have no further obligations to provide records under subdivision C 1 a.
- c. If the sewer system operator has no such records, but has additional information to provide about the location of private sewer laterals, then the sewer system operator shall notify the excavator of such information and, upon request, either meet with the excavator on-site or convey such information to the excavator.
- 2. When the records have been made available in accordance with subdivision C 1 and the excavator reasonably concludes that a private sewer lateral may be impacted by the planned excavation based upon visual evidence, knowledge of the proposed excavation site, or other information available to the excavator, the excavator shall exercise reasonable care to protect the private sewer lateral. For purposes of this subdivision, reasonable care includes the following actions:
- Reviewing information provided by the sewer system operator;
- b. Reviewing information provided by the private sewer lateral owner:
- Meeting with the sewer system operator on-site if the sewer system operator has additional information to provide about the location of private sewer laterals; or
- d. Conducting a visual inspection of the proposed excavation site in an effort to determine the probable path of the sewer lateral.
- D. Sewer system operators shall mark utility lines, other than sewer system laterals and private sewer laterals, as provided by other sections of this chapter.
- E. Water system operators shall mark water system utility lines as provided by other sections of this chapter, except that a water system operator shall not be responsible for marking private water laterals.
- F. Records regarding the location of private sewer laterals provided on the Internet or otherwise made accessible by an electronic system pursuant to subdivision C 1 b shall also be accessible to other public utilities and cable operators or excavators working on their behalf for purposes of compliance with this chapter.

- G. In all excavations, the excavator shall exercise reasonable care to protect underground utility lines.
- § 56-265.20. Repealed by Acts 1994, c. 890, effective January 1, 1995.
- § 56-265.20:1. Locating nonmetallic underground utility lines.

Notwithstanding the provisions of § 56-257.1, any plastic or other nonmetallic utility lines installed underground on and after July 1, 2002, shall be installed in such a manner as to be locatable by the operator for the purposes of this chapter.

#### § 56-265.21. Marking by color.

In marking the approximate location of underground utility lines or proposed excavation if required pursuant to subsection E of § 56-265.17 the American Public Works Association color codes shall be used.

- § 56-265.22. Duties of notification center upon notification by person intending to excavate; record of notification made by telephone required.
- A. The notification center shall, upon receiving notice by a person, notify all member operators whose underground lines are located in the area of the proposed project, excavation or demolition. The notification center shall also indicate the names of those operators being notified to the person providing notice.
- B. If the notification required by this chapter is made by telephone, a record of such notification shall be maintained by the operators or notification center notified to document compliance with the requirements of this chapter, and such records shall be maintained in compliance with the applicable statute of limitations.
- C. The notification center shall notify excavators, within the time frame allowed by the law to mark underground utility lines, of any responses placed on the excavator-operator information exchange system by a locator. Such notification shall occur by facsimile or other mutually acceptable means of automatically transmitting and receiving this information.

If the excavator cannot provide the notification center with a facsimile number or other mutually acceptable means of automatically transmitting and receiving this information, it shall be the excavator's responsibility to contact the excavator-operator information exchange system after the period allowed by law to mark underground facilities and prior to commencing excavation in order to determine if any responses to the notice have been recorded.

#### § 56-265.22:1. Meetings between excavators and operators.

A. Any person planning excavation or demolition in such proximity to the underground utility lines that the utility lines may be destroyed, damaged, dislocated, or disturbed may request a meeting with the operator whose underground utility lines are located in the area of the proposed excavation or demolition to discuss the marking of such lines. The project requiring excavation

shall be of sufficient complexity to require a pre-marking meeting. The meeting notice shall include all information required by § 56-265.18 and a specific time and location for the meeting.

- B. The notification center shall, upon receiving a meeting notice, notify all member operators whose underground utility lines are located in the area of the proposed excavation or demolition. The notification center shall provide to the excavator the names of those operators being notified of the meeting.
- C. The operators notified by the notification center shall meet with the excavator by 7:00 a.m. on the third working day following the excavator's meeting notice. If an operator does not agree to the excavator's suggested time and location, the operator shall set up a mutually agreeable time and location to meet no later than 7:00 a.m. on the third working day following the meeting notice.
- D. The excavator's meeting notice shall not be the notice to excavate required under § 56-265.17. The notice to excavate required under § 56-265.17 for the project shall not be submitted to the notification center until after the meeting referenced in subsection A of this section has occurred, or after 7:00 a.m. on the third working day following the meeting notice.

### § 56-265.23. Exemption for roadway maintenance operations by the Virginia Department of Transportation and certain counties, cities, and towns.

Employees of the Virginia Department of Transportation acting within the scope of their employment, and certain employees of those counties, cities, and towns which maintain their streets or roads in accordance with § 33.1-23.5:1 or § 33.1-41.1 performing street or roadway maintenance operations and acting within the scope of their employment, excavating entirely within the right-of-way of a public road, street or highway of the Commonwealth shall not be required to comply with the provisions of this chapter if reasonable care is taken to protect the utility lines placed in the right-of-way by permit and if they:

- 1. Excavate within the limits of the original excavation; on the traveled way, shoulders or drainage features of a public road, street, or highway and any excavation does not exceed eighteen inches (0.45 meter) in depth below the grade existing prior to such excavation; or
- 2. Are replacing previously existing structures in their previous locations.

#### § 56-265.24. Duties of excavator.

A. Any person excavating within two feet on either side of the staked or marked location of an operator's underground utility line or demolishing in such proximity to an underground utility line that the utility line may be destroyed, damaged, dislocated or disturbed shall take all reasonable steps necessary to properly protect, support and backfill underground utility lines. For excavations not parallel to an existing underground utility line, such steps shall include, but may not be limited to:

1. Exposing the underground utility line to its extremities by hand digging;

- 2. Not utilizing mechanized equipment within two feet of the extremities of all exposed utility lines; and
- Protecting the exposed utility lines from damage.

In addition, for excavations parallel to an existing utility line, such steps shall include, but may not be limited to, hand digging at reasonable distances along the line of excavation. The excavator shall exercise due care at all times to protect underground utility lines when exposing these lines by hand digging.

- B. If the markings locating the underground lines become illegible due to time, weather, construction, or any other cause, the person performing the excavation or demolition shall so notify the notification center for the area. Such notification shall constitute an extension under subsection D of § 56-265.17.
- C. If, upon arrival at the site of a proposed excavation, the excavator observes clear evidence of the presence of an unmarked utility line in the area of the proposed excavation, the excavator shall not begin excavating until an additional call is made to the notification center for the area pursuant to subsection B (sic) [C] of § 56-265.17.
- D. In the event of any damage to, or dislocation, or disturbance of any underground utility line including its appurtenances, covering, and coating, in connection with any excavation or demolition, the person responsible for the excavation or demolition operations shall immediately notify the operator of the underground utility line and shall not backfill around the underground utility line until the operator has repaired the damage or has given clearance to backfill. The operator shall either commence repair of the damage or give clearance to backfill within twenty-four hours, and upon his failure to commence or prosecute with diligence such repair or give clearance, the giving of clearance shall be presumed.
- E. If the damage, dislocation, or disturbance of the underground utility line creates an emergency, the person responsible for the excavation or demolition shall, in addition to complying with subsection D of this section, take immediate steps reasonably calculated to safeguard life, health and property.
- F. With the exception of designers requesting marking of a site, in accordance with § 56-265.17, no person, including operators, shall request marking of a site through a notification center unless excavation shall commence within thirty working days from the date of the original notification to the notification center. Except for counties, cities, and towns, any person who willfully fails to comply with this subsection shall be liable to the operator for three times the cost of marking its utility line, not to exceed \$1.000.
- G. Any person performing excavation or demolition shall provide to the operator of the underground utility line in the area of excavation or to the appropriate regulatory authority having jurisdiction, the number issued by the notification center for that excavation site in response to the excavator's notice, within one hour of a request for the number issued by the notification center.

H. If an excavator discovers an unmarked line, the excavator shall protect this line pursuant to subsection C of this section. An excavator shall not remove an abandoned line without first receiving authorization to do so by the operator.

#### § 56-265.25. Liability of operator and excavator; penalties.

- A. 1. If any underground utility line is damaged as a proximate result of a person's failure to comply with any provision of this chapter, that person shall be liable to the operator of the underground utility line for the total cost to repair the damaged facilities as that cost is normally computed by the operator, provided the operator is a member of the notification center covering the area in which the damage to the utility line takes place. The liability of such a person for such damage shall not be limited by reason of this chapter.
- 2. Any person who willfully fails to notify the notification center of proposed excavation or demolition shall be liable to the operator as provided in subsection A of § 56-265.17.
- 3. If, after receiving proper notice, an operator fails to discharge a duty imposed by any provision of this chapter and an underground utility line of such operator is damaged, as a proximate result of the operator's failure to discharge such duty, by any person who has complied with all of the provisions of this chapter, such person shall not be so liable.
- B. If an underground utility line of an operator is damaged, as the proximate result of the operator's failure to comply with any provision of this chapter, by any person who has complied with the provisions of this chapter, the operator shall be liable to such person for the total cost to repair any damage to the equipment or facilities of such person resulting from such damage to the operator's underground utility line.
- C. Except as specifically set forth herein, the provisions of this chapter shall not be construed to either abrogate any rights, duties, or remedies existing under law or create any rights, duties, defenses, or remedies in addition to any rights, duties, or remedies existing under law.

§ 56-265.26. Repealed by Acts 1994, c. 890, effective January 1, 1995.

#### § 56-265.26:1. Utility line depth requirement.

Every operator having the right to install underground utility lines shall install such underground utility lines at depths required by accepted industry standards. Such standards shall include, as applicable, standards established by the National Electrical Safety Code, Bellcore Blue Book-Manual of Contractor's Procedures, the Commission's pipeline safety regulations, the Department of Health's waterworks regulations, and the depth standards of the Virginia Cable Telecommunications Association, which shall be established in consultation with the State Corporation Commission no later than July 1, 2002.

§ 56-265.27. Not set out.

#### § 56-265.28. Sovereign immunity.

Nothing in this chapter shall be construed to abrogate the immunity from suit accruing to the Commonwealth, her political subdivisions, agencies, officers or employees, or the officers or employees of her political subdivisions and agencies, as exists prior to July 1, 1980.

#### § 56-265.29. Other similar laws.

Compliance with the provisions of this chapter shall not exempt any operator or person from the operation of any other applicable laws, ordinances, regulations, or rules of governmental and regulatory authorities having jurisdiction, unless exempted by such other laws, ordinances, regulations, or rules as a result of such compliance.

#### § 56-265.30. Authority of the State Corporation Commission.

- A. The Commission shall enforce the provisions of the Underground Utility Damage Prevention Act as set out in this chapter. The Commission may promulgate any rules or regulations necessary to implement the Commission's authority to enforce this chapter.
- B. Nothing in this chapter shall be construed to authorize the Commission to promulgate any rules or regulations pursuant to its authority to enforce this chapter that require any person, other than jurisdictional gas or hazardous liquid operators, to report to the Commission any probable violation of this chapter or any incident involving damage, dislocation or disturbance of any utility line.

#### § 56-265.31. Commission to establish advisory committee.

- A. The Commission shall establish an advisory committee consisting of representatives of the following entities: Commission staff, utility operator, notification center, excavator, municipality, Virginia Department of Transportation, Board for Contractors, and underground line locator. Persons appointed to the advisory committee by the Commission shall have expertise with the operation of the Underground Utility Damage Prevention Act. The advisory committee shall perform duties which may be assigned by the Commission, including the review of reports of violations of the chapter, and make recommendations to the Commission.
- B. The members of the advisory committee shall be immune, individually and jointly, from civil liability for any act or omission done or made in performance of their duties while serving as members of such advisory committee, but only in the absence of willful misconduct.

### § 56-265.32. Commission to impose civil penalties for certain violations; establishment of Underground Utility Damage Prevention Special Fund.

A. The Commission may, by judgment entered after a hearing on notice duly served on any person not less than 30 days before the date of the hearing, impose a civil penalty not exceeding \$2,500 for each violation, if it is proved that the person violated any of the provisions of this chapter as a result of a failure to

exercise reasonable care. Any proceeding or civil penalty undertaken pursuant to this section shall not prevent nor preempt the right of any party to obtain civil damages for personal injury or property damage in private causes of action. This subsection shall not authorize the Commission to impose civil penalties on any county, city or town. However, the Commission shall inform the counties, cities and towns of reports of alleged violations involving the locality and, at the request of the locality, suggest corrective action.

- If the Commission asserts there is recurring noncompliance with any of the provisions of this chapter by a county, city, town, or other political subdivision, the Commission, upon written notice to the chairman of such operator's board or, in the case of a city or town, the mayor of such operator's council, and to such operator's chief executive officer, may require a written response by such person or his designee. Such response shall be made within 30 days of the operator's receipt of written notice from the Commission. The response shall confirm that the operator will comply promptly or explain why it disputes any assertion by the Commission of noncompliance. If the operator is not able to return to compliance promptly, the operator shall describe its plan to achieve compliance in a corrective action plan to be submitted to the Commission no later than 60 days after the receipt of the written notice. Following submittal of a corrective action plan, the Commission may convene a hearing for the purpose of receiving additional evidence, determining whether noncompliance has occurred, and determining further suggested corrective action. The Commission may also convene such a hearing if the operator fails to provide a written response or a corrective action plan as required by this subsection, or provides a response that disputes the Commission's assertions. Nothing in this section shall limit the Commission's powers under this chapter with respect to persons who are not counties, cities, towns, or political subdivisions of the Commonwealth.
- C. The Underground Utility Damage Prevention Special Fund (hereinafter referred to as "Special Fund") is hereby established as a revolving fund to be used by the Commission for administering the regulatory program authorized by this chapter. The Special Fund shall be composed entirely of funds generated by the enforcement of this chapter. Excess funds shall be used to support any one or more of the following: (i) public awareness programs established by a notification center pursuant to subsection B of § 56-265.16:1; (ii) training and education programs for excavators, operators, line locators, and other persons to reduce the number and severity of violations of the Act. The Commission shall determine the appropriate allocation of any excess funds among such programs, and shall establish required elements for any program established under clause (ii) or (iii).
- D. All civil penalties collected pursuant to this section shall be deposited into the Underground Utility Damage Prevention Special Fund. Interest earned on the fund shall be credited to the Special Fund. The Special Fund shall be established on the books of the Commission comptroller and any funds remaining in the Underground Utility Damage Prevention Special Fund at the end of the fiscal year shall not revert to the general fund, but shall remain in the Special Fund.



# RULES FOR ENFORCEMENT OF THE UNDERGROUND UTILITY DAMAGE PREVENTION ACT

### 20VAC5-309-10. Purpose.

These rules delineate procedures used by the State Corporation Commission (commission) to enforce the provisions of Chapter 10.3 (§56-265.15 et seq.) of Title 56 of the Code of Virginia, also known as the Underground Utility Damage Prevention Act (Act). The rules further detail certain standards and requirements for the protection of underground utility lines to facilitate the commission's enforcement of the Act.

#### 20VAC5-309-15. Definitions.

The following words and terms when used in this chapter shall have the following meanings, unless the context clearly indicates otherwise:

"Division" means the State Corporation Commission's Division of Utility and Railroad Safety.

"Installation records of a utility line" means maps, drawings, diagram, sketches, or any other depictions or descriptions of an underground utility line that reflect the location at the time of installation in a reasonably accurate manner.

"Locate" or "marking" means an operator's or its contract locator's markings of an underground utility line.

"Serious impact on public health" means any condition involving a water or sewer utility line that creates, or may create, a danger to the health and well being of the public.

### 20VAC5-309-20. Report of probable violations.

Any person, as defined in §56-265.15 of the Code of Virginia, may report probable violations of Chapter 10.3 of Title 56 to the State Corporation Commission, Division of Utility and Railroad Safety (division). The reports of probable violations may be submitted to the division in writing, by phone, fax, email, or in person. All written reports of probable violations shall include the information requested on SCC Form DPA-1, if available. All probable violations shall be reported to the division within 30 days of a person becoming aware of the circumstances constituting the probable violations.

### 20VAC5-309-30. Commission staff investigation of probable violations.

Upon receipt of a report of a probable violation, the Commission staff ("staff") shall conduct an investigation to examine all the relevant facts regarding the reported probable violation. The investigation may include, among other things, records verification, informal meetings, teleconferences, and photodocumentation. Responses to reports of probable violations may be provided to the division in writing, by phone, fax, e-mail or in person. Upon completion of the investigation, the staff shall review its findings and recommendations with the Advisory Committee established in accordance with \$56-265.31 of the Act.

### 20VAC5-309-40. Advisory Committee review of probable violations.

- A. The Advisory Committee (committee), established by the commission, shall meet on a periodic basis to review probable violations of the Act and the staff's findings and recommendations relative to such violations. Upon determination of either the staff or the committee that a violation may have occurred, and that an enforcement action is required, the staff shall take one or more of the following actions:
- 1. Issue a warning letter to the person alleged to have committed the violation (respondent);
- 2. Issue an information letter to a county, city, or town alleged to have committed the violation:
- 3. Enter settlement negotiations with the respondent. Upon reaching agreement on settlement terms, the division shall present the proposed settlement to the commission for final acceptance or rejection; or
- 4. Request the issuance of a "Rule to Show Cause" order pursuant to 5 VAC 5-20-90 of the commission's Rules of Practice and Procedure.
- B. In the event that the staff but not the committee recommends enforcement action against a probable violator, notwithstanding 20 VAC 5-309-40 A 3, the staff may not pursue a settlement with the probable violator absent the initiation of a rule to show cause. As part of its request for a rule to show cause, staff shall report to the commission the committee's recommendations and reason or reasons for the committee's recommendations.
- C. As soon as practicable after its establishment, the committee shall develop and implement a set of bylaws. These bylaws shall delineate the committee's practice and procedures relative to performing the duties assigned by the commission, including the review of probable violations of the Act.
- D. If deemed necessary, the committee shall establish one or more subcommittees of experts in the operations covered by the Act. These subcommittees shall assist the committee in performing its assigned duties.

#### 20VAC5-309-50. Commission action.

- A. The commission may accept or reject a proposed settlement to resolve probable violations of the Act. If the commission rejects a proposed settlement, a public hearing will be scheduled to receive evidence and take appropriate enforcement action as provided by the the commission's Rules of Practice and Procedure (5VAC5-20-10 et seq.).
- B. If the commission finds, after a hearing, that a violation has occurred or is continuing, it may issue a remedial order. The remedial order may direct the party or parties to take any action which is consistent with such party's or parties' obligations under the Act, including the payment of a civil penalty as provided by \$56-265.32 of the Code of Virginia. A remedial order issued by the commission under this section shall be effective upon issuance, in accordance with its terms, unless stayed, suspended, modified or rescinded.
- C. If the commission finds that a violation has occurred or is continuing and presents an immediate potential danger to life, health, property, or essential public service, the commission may issue a temporary injunction and schedule a hearing and require the respondent to show cause why it should not be enjoined on account of the alleged violation or violations of the Act.

### 20VAC5-309-60. Civil penalties.

- A. In determining the amount of any civil penalty included in a settlement, the nature, circumstances and gravity of the violation; the degree of the respondent's culpability; the respondent's history of prior offenses; and such other factors as may be appropriate shall be considered.
- B. The respondent shall pay a civil penalty that has been assessed or compromised by submitting to the division a certified check made payable to the Treasurer of Virginia in the correct amount. All such penalties shall be deposited in the Underground Utility Damage Prevention Special Fund and shall be used for administering the regulatory program authorized by the Act. Any excess funds shall be used for public awareness programs established pursuant to subsection B of §56-265.16:1 of the Code of Virginia.

### 20VAC5-309-70. Petition for reconsideration.

Any person subject to an order from the Virginia State Corporation Commission may petition the commission for reconsideration of its order under 5 VAC 5-20-220 of the commission's Rules of Practice and Procedure.

### 20VAC5-309-80. Appeals generally.

Any final finding, decision setting the substantive law, order or judgment of the commission may be appealed only to the Supreme Court of Virginia, subject to §12.1-39 et seq. of the Code of Virginia, and to Rule 5:21 of that Court.

### 20VAC5-309-90. Emergency excavation or demolition.

When excavation or demolition is required during an emergency as defined in §56-265.15 of the Code of Virginia, all reasonable precautions shall be taken to protect underground utility lines that may be located at the site of the excavation. These precautions shall include, but are not limited to, the following:

- 1. Dispatched personnel or crews responding to the emergency shall notify the notification center and request an emergency locate of the underground utility lines at the earliest reasonable opportunity;
- 2. After arriving at the site, the person responding to the emergency shall determine the need for immediate action:
- 3. If immediate action is required, all reasonable precautions shall be taken to protect the underground utility lines. These actions shall include, but are not limited to, the following:
- a. Conduct a thorough site assessment to determine the location of underground utility lines;
- b. Locate the underground utility lines with acceptable equipment, if possible;
- c. Hand dig around the underground utility lines;
- d. Directly notify the utility line operators, if necessary; and
- e. If prudent, the excavator shall wait for marking of the excavation area by operators having utility lines in the excavation area.

#### 20VAC5-309-100. Marking of underground utility lines.

All markings shall, at a minimum, conform with the requirements of this part.

### 20VAC5-309-110. General marking requirements.

- A. All markings shall be suitable for their intended purpose for a period of 15 working days beginning at 7 a.m. on the next working day following notice by the excavator to the notification center.
- B. Markings shall be made at sufficient intervals to clearly indicate the approximate horizontal location and direction of the underground utility line. However, the distance between any two marks indicating the same utility line shall not exceed 20 feet. Site conditions or directional changes of the underground utility line shall be considered to determine the need for shorter distance between marks.
- C. Markings of underground utility lines shall be by means of stakes, paint, flags, or combination thereof. The terrain, site conditions, and the type and extent of the proposed excavation shall be considered to determine the most suitable means to mark underground utility lines.

- D. Paint marks shall be approximately 8 to 10 inches in length and one to two inches in width except when "spot" marking is necessary.
- E. A minimum of three separate marks shall be made for each underground utility line marking.
- F. Valve box covers that are at grade and visible shall be marked with the appropriate color in accordance with the Act.
- G. If in the process of marking an underground utility line, a customer-owned underground utility line of the same type is discovered, the operator or its contract locator shall make a reasonable effort to contact the excavator or the customer to advise of the presence of the line.
- H. Where the proposed excavation crosses an underground utility line, markings shall be at intervals that clearly define the route of the underground line.
- All markings shall extend if practical, a reasonable distance beyond the boundaries of the specific location of the proposed work as detailed on the ticket.
- J. If the use of line marking is considered damaging to property (driveways, landscaping, historic locations to the extent boundaries are known), "spot" marking or other suitable marking methods shall be used.
- K. Markings shall be valid for an excavation site for 15 working days beginning at 7 a.m. on the next working day following notice to the notification center by the excavator or until one of the following events occurs:
- 1. The markings become faded, illegible or destroyed; or
- 2. If the markings were placed in response to an emergency and the emergency condition has ceased to exist.
- L. Where permitted by the operator's records, all utility lines of the same type in the same trench owned by the same operator shall be marked individually or by a single mark. If a single mark is used, the number of the utility lines shall be indicated at every other mark.
- M. Operators or their contract locators shall use all information necessary to mark their facilities accurately.
- N. Markings of an underground pipeline greater than 12 inches in nominal outside dimension shall include the size in inches at every other mark.

- O. Duct structures and conduit systems shall be marked in accordance with the horizontal marking symbols for such structures and conduit systems as shown in item nine of the Virginia Underground Utility Marking Best Practices as provided in the Virginia Underground Utility Marking Standards (March 2004) published by the division (http://www.state.va.us/scc/division/urs/mutility/va\_uums.pdf).<sup>2</sup>
- P. In areas where marks would be destroyed, offset markings shall be made using horizontal marking symbols as shown in item 15 of the Virginia Underground Utility Marking Best Practices as provided in the Virginia Underground Utility Marking Standards (March 2004) published by the division (http://www.state.va.us/scc/division/urs/mutility/va uums.pdf).<sup>2</sup>
- Q. The assigned letter designations for each operator to be used in conjunction with markings of underground utility lines shall be the same as those assigned by the notification center certified for a geographic area, subject to the review of the same and approval of such designations in writing by the advisory committee. Such approved designations by the advisory committee shall be deemed final unless appealed to the commission within 30 days of the advisory committee's written evidence of approval. Operators wishing to appeal the letter designations assigned in accordance with this section may file an appropriate formal pleading with the commission seeking review of the assigned letter designation within 30 days of the issuance of the written approval of the advisory committee.
- R. The symbols for marking of underground utility lines in compliance with §56-265.19 F(ii) of the Act shall be the same as those shown in the Virginia Underground Utility Marking Standards (March 2004) published by the division (http://www.state.va.us/scc/division/urs/mutility/va\_uums.pdf).<sup>2</sup>

### 20VAC5-309-120. Clear evidence.

"Clear evidence" as used in §56-265.24 C of the Code of Virginia shall include, but is not limited to, visual evidence of an unmarked utility line, knowledge of the presence of a utility line, or faded marks from previous marking of a utility line.

### 20VAC5-309-130. Notification center data update.

Every operator required by §56-265.16:1 A of the Code of Virginia to join the notification center shall provide to the notification center data that will allow proper notification to the operator of excavation near the operator's utility lines. This data shall be provided as soon as possible, but no later than 15 days after an operator installs or acquires underground facilities it had not previously identified to the notification center. In the case of sanitary sewers, the data shall be provided no later than 15 days after the utility line is accepted by the operator.

<sup>&</sup>lt;sup>2</sup>The *Virgnia Underground Utility Marking Standards* booklet is now located at http://www.scc.virginia.gov/urs/mutility/docs/va\_uums.pdf

# 20VAC5-309-140. Excavator's responsibilities to avoid damage, dislocating or disturbances of utility lines.

Any person excavating around underground utility lines shall take all reasonable steps to protect such utility lines. These steps shall include, but are not limited to, the following:

- 1. The excavator shall plan the excavation in such a manner to avoid damage to, and minimize interference with, underground utility lines in and near the construction area;
- The excavator shall expose the underground utility line to its extremities by hand digging within the excavation area when excavation is expected to come within two feet of the marked location of the underground utility line;
- The excavator shall not utilize mechanized equipment within two feet of the extremities of all exposed utility lines:
- 4. The excavator shall maintain a reasonable clearance, to include the width of the utility line, if known, plus 24 inches, between the marked or staked location of an underground utility line and the cutting edge or point of any mechanized equipment, considering the known limit of control of the cutting edge or point to avoid damage to the utility line; and
- 5. The excavator shall provide proper support for underground utility lines during excavation activities. During backfill operations, the excavator shall use the same or similar backfill material that was originally around the utility line, ensure there is proper compaction around the utility line, protect all tracer wires, and protect or replace warning tapes.

### 20VAC5-309-150. Requirement for trenchless excavation.

Any person conducting trenchless excavation shall take all reasonable steps necessary to protect and support underground utility lines. These steps shall include, but are not limited to the following:

- 1. The excavator should verify that all utility lines in the area are marked;
- 2. The excavator shall ensure that bore equipment stakes are installed at a safe distance from marked utility lines;
- When grounding rods are used, the excavator shall ensure that they are installed at a safe distance (at least 24 inches plus the width of the utility line, if known) away from the marked or staked location of utility lines;
- 4. The excavator shall ensure sufficient clearance is maintained between the bore path and any underground utility lines during pullback;
- 5. The excavator shall give special consideration to water and sewer systems within the area that cannot be located accurately;

- 6. Unless prohibited by other laws, ordinances, regulations, or rules of governmental and regulatory authorities having jurisdiction, the excavator shall expose all utility lines which will be in the bore path by hand digging to establish the underground utility line's location prior to commencing bore. For a parallel type bore, unless prohibited by other laws, ordinances, regulations, or rules of governmental and regulatory authorities having jurisdiction, the excavator shall expose the utility line by hand digging at reasonable distances along the bore path;
- 7. The excavator shall ensure the drill head locating device is functioning properly and within its specification;
- 8. The excavator shall visually check the drill head as it passes through potholes, entrances, and exit pits; and
- 9. If the depth indicated by the locating device is lower than the bottom of the pothole or pit, the excavator shall cease boring until the hole/pit can be hand excavated further to maintain a visual inspection of the drill head.

### 20VAC5-309-160. Operator's responsibilities to maintain accurate records.

The operator shall prepare and maintain reasonably accurate installation records of the underground utility lines installed after July 1, 2001, other than electric, telecommunications, cable TV, water, and sewer underground service lines connected to a single family dwelling unit.

### 20VAC5-309-170. Responsibility to protect and preserve marking.

Every excavator shall be responsible to reasonably protect and preserve markings from the time the excavator begins work until markings are no longer required for the proper and safe excavation near the utility line.

### 20VAC5-309-180. Excavator site inspection.

Prior to excavation, excavators shall verify they are at the correct location and shall verify locate markings and, to the best of their ability, check for unmarked utility lines. If unmarked utility lines are identified, the excavator shall comply with the requirements of §56-265.24 C of the Code of Virginia.



# Plans to Encourage Compliance

Currently, there are three specific plans to encourage performance improvement and training relative to the Act and the Rules.

For more information on these three plans, contact the Division. (See back cover.)

### The Performance Plan

Purpose - This plan is designed to encourage stakeholders to improve their performance.

- A stakeholder's performance during the most recent year is compared to the average performance for that stakeholder's industry.
- If performance is better than industry average, a credit is recorded; if the performance is the same as industry average, no credit or additional penalty is recorded; finally, if performance is worse than the industry average, an additional penalty is recorded to encourage improvements.
- Credits or additional penalties are applied in the event the stakeholder is cited for a probable violation and the Advisory Committee recommends a civil penalty.
- The program resets on July 1 of each year.

### The Education Credit Plan

Purpose - This plan is designed to encourage stakeholders to:

- Receive education/training in damage prevention.
- Provide education/training in damage prevention.
- Participate in various other damage prevention educational efforts.

Stakeholders can receive up to \$200.00 credit per violation.

The plan outlining the stakeholder's damage prevention efforts must be submitted to the Division each year.

### Damage Prevention Training

Purpose - This plan is designed to encourage stakeholders to receive training on the Act and Rules.

- Training is offered free of charge, by the Division, at a mutually agreed upon location and time.
- When appropriate, training is offered as part of a settlement for a reduction in civil penalty.
- Stakeholders who voluntarily request training can include the training as part of their Education Credit Plan.

# **Local Damage Prevention Committees**



Local Damage Prevention Committees ("LDPCs") are groups of stakeholders who are concerned about preventing damage to underground utilities.

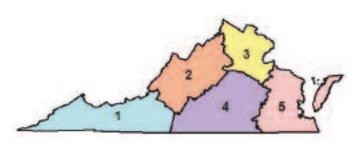
By becoming an active member, you will have the opportunity to discuss, in an informal setting, your concerns and ideas related to preventing damage to underground utility lines, and become part of a local and statewide network of stakeholders through which important damage prevention information is communicated quickly and effectively.

Additionally, your local damage prevention committee fosters partnership! Developing partnerships with other stakeholders can result in open communication, problem solving and avoiding conflicts.

There is no cost to become a member, and in fact, regular participation at meetings builds "educational

credits." Educational credits can be applied to reduce the amount of a potential civil penalty associated with a violation of the Act or Rules!

Local Damage Prevention Committees meet on a regular basis in the following five geographic areas of the Commonwealth:



Area 1: Southwestern Virginia

Area 2: Western Virginia

Area 3: Northern Virginia

Area 4: Central Virginia

Area 5: Eastern Virginia, including the Eastern Shore

For more information on the next meeting in your area, contact the Division at 804-371-9980, or visit Miss Utility on the web at www.va811.com (hyperlink "Professional Excavators" then hyperlink "Events").

## New Technologies



Virginia has been in the forefront of testing and implementing new technologies to improve the State's Damage Prevention Program. These technologies include:

- GPS enabled phones to call in tickets, from the field, with the area of excavation better defined.
- GPS enabled locating equipment to help create electronic records of utility locates.
- Excavation encroachment equipment to alert the equipment operator of utilities in close proximity of excavation and alert the utility operators of excavations without Miss Utility tickets.

The Division encourages all stakeholders to adopt technologies that help further enhance Virginia's Damage Prevention Program.

### **IMPORTANT TELEPHONE NUMBERS**

Name	Number
Name	Number

# White Lining Best Practices

Excavators are encouraged to use white lining, when practical, to show the locators the area where excavation will take place. This will reduce the need to precisely describe the area of planned excavation in words, reduce unnecessary work locating underground utility lines that are not involved in the excavation area and reduce unnecessary paint on our streets, roads, sidewalks, etc.

For single point excavation, mark (using white paint) the area by the use of dots or dashes to show the operators/locators the area of excavation. If necessary, use white flags. If utility markings are desired outside a white lined area, provide clear instructions (including distance in feet outside the white lined area) to the notification center. Instances where this would be desirable are installing poles, pedestals, manholes, landscaping, etc.





For continuous excavation (trenching, boring, etc.), mark the center line of excavation with dots or dashes. Excavation width (in feet) shall be indicated on either side of the center line in legible figures or noted in the marking instructions given to the notification center.

# Contacting Miss Utility (Websites Hyperlinked)

Itility Provides Emergency Serv

Miss Utility Provides Emergency Service 365 Days a Year, 24 Hours a Day



For more information regarding Virginia's damage prevention program, or to report a problem regarding damage prevention, contact the State Corporation Commission's Division of Utility and Railroad Safety

Call: 1-800-552-7945 or 804-371-9980

email: vadamageprevention@scc.virginia.gov

Write: Damage Prevention Investigator Division of Utility and Railroad Safety State Corporation Commission

P.O. Box 1197

Richmond, Virginia 23218

Web: www.scc.virginia.gov/urs

